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A coinductive approach to digital computation

Ulrich Berger
Swansea

Outline

- ▶ Introduction
- ▶ Induction and coinduction
- ▶ Digit spaces
- ▶ Metric digit spaces
- ▶ Program extraction
- ▶ Case studies
- ▶ Conclusion

The aims of this talk

- ▶ to outline a constructive theory of digital computation;
- ▶ to show that program extraction from proofs is a practical method for obtaining certified programs for digital computation.

Background

- ▶ Exact Real Arithmetic via infinite streams of Signed Digits and Linear Fractional Transformations
- ▶ Coalgebraic modelling of infinite data types
- ▶ Proof Theory: Program extraction from proofs via realisability
- ▶ Domain-theoretic modelling and termination proofs

Example: computing with signed digits

$$\mathbb{I} := [-1, 1] \subseteq \mathbb{R}$$

$$\text{SD} := \{-1, 0, 1\}$$

$$x \in \mathbb{I}$$

$$a = (a_n)_{n \in \mathbb{N}} \in \text{SD}^\omega$$

$$x \sim a \quad :\Leftrightarrow \quad x = \sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)}$$

A function $f : \mathbb{I} \rightarrow \mathbb{I}$ is *represented* by a function $\hat{f} : \text{SD}^\omega \rightarrow \text{SD}^\omega$ if

$$\forall x, a \ (x \sim a \Rightarrow f(x) \sim \hat{f}(a))$$

Power series as infinite composition

$$\sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)} = \frac{1}{2}(a_0 + \frac{1}{2}(a_1 + \dots))$$

$$\text{av}_d : \mathbb{I} \rightarrow \mathbb{I}, \quad \text{av}_d(x) := \frac{1}{2}(d + x) \quad (d \in \text{SD}).$$

$$\sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)} = \text{av}_{a_0}(\text{av}_{a_1}(\dots)) = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$$

Therefore, $x \sim a \Leftrightarrow x = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$

$$\text{AV} := \{\text{av}_{-1}, \text{av}_0, \text{av}_1\} \subseteq \mathbb{I} \rightarrow \mathbb{I}.$$

(\mathbb{I}, AV) is an example of a *digit space*.

Digit spaces

We study digit spaces (X, D) , where X is a set and $D \subseteq X \rightarrow X$, and characterise the functions $f : X \rightarrow Y$ that have a continuous digital representation $\hat{f} : D^\omega \rightarrow E^\omega$.

The characterisation does not refer to infinite objects (like streams of digits), but uses a combined inductive/coinductive definition.

Program extraction yields implementations of \hat{f} by finitely branching non-wellfounded trees.

We also consider *metric digit spaces* (X, σ, P, D) , where σ is a metric on X and $P \subseteq X$ is dense, and study the relation between digital representability and uniform continuity.

Induction

$\Phi: \mathcal{P}(U) \rightarrow \mathcal{P}(U)$ is monotone if $X \subseteq Y$ implies $\Phi(X) \subseteq \Phi(Y)$.

A set $X \subseteq U$ is Φ -closed if $\Phi(X) \subseteq X$.

$\mu\Phi$, the set *inductively* defined by Φ , is the least Φ -closed set.

Closure $\Phi(\mu\Phi) \subseteq \mu\Phi$

Induction if $\Phi(X) \subseteq X$, then $\mu\Phi \subseteq X$

Coinduction

A set $X \subseteq U$ is Φ -coclosed if $X \subseteq \Phi(X)$.

$\nu\Phi$, the set *coinductively* defined by Φ , is the largest Φ -coclosed set.

Coclosure $\nu\Phi \subseteq \Phi(\nu\Phi)$

Coinduction if $X \subseteq \Phi(X)$, then $X \subseteq \nu\Phi$

Digital maps (motivation)

Let $x = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$ Consider $h: \mathbb{I} \rightarrow \mathbb{I}$ (e.g. a polynomial)

Writing a digit

$$\begin{aligned} h(x) &= h \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots \\ &= \text{av}_b \circ ((\text{av}_b^{-1} \circ h) \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots) \quad \text{if } h[\mathbb{I}] \subseteq \text{av}_b[\mathbb{I}] \end{aligned}$$

Reading a digit

$$\begin{aligned} h(x) &= h \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots \\ &= (h \circ \text{av}_{a_0}) \circ \text{av}_{a_1} \circ \dots \end{aligned}$$

After reading finitely many digits writing must be possible again.

Digital maps (definition)

Let (X, D) and (Y, E) be digit spaces.

We define the set $C_{D,E} \subseteq X \rightarrow Y$ of *digital maps* as follows.

Let F, G range over subsets of $X \rightarrow Y$
and let $\nu F \dots$ stand for $\nu \lambda F \dots$ e.t.c.

$C_{D,E} :=$

$$\nu F . \mu G . \{e \circ f \mid e \in E, f \in F\} \cup \{h : X \rightarrow Y \mid \forall d \in D h \circ d \in G\}$$

Identity and composition

Identity Lemma

Let (X, D) be a digit spaces.

- (a) $\text{id}_X \in C_{D,D}$.
- (b) $D \subseteq C_{D,D}$.

Composition Lemma

Let (X_i, D_i) ($i=1,2,3$) be digit spaces.

If $f \in C_{D_1,D_2}$ and $g \in C_{D_2,D_3}$, then $g \circ f \in C_{D_1,D_3}$.

The category of digit spaces

By the Identity Lemma and the Composition Lemma, digit spaces and digital maps form a category.

Product Lemma

The category \mathcal{D} has finite products.

Digital global elements

The set of global elements of a digit space (X, D) is

$$C_D := C_{\mathbf{1},(X,D)}$$

where $\mathbf{1}$ denotes the terminal object $(\mathbf{1}, \{\text{id}_{\mathbf{1}}\})$ in \mathcal{D} . We identify C_D with a subset of X .

Global Element Lemma

$$C_D = \nu A. \{d(x) \mid d \in D, x \in A\} \stackrel{\text{roughly}}{=} \{d_0 \circ d_1 \circ \dots \mid (d_n)_{n \in \mathbb{N}} \in D^\omega\}$$

Application Lemma

If $f \in C_{D,E}$ and $x \in C_D$, then $f(x) \in C_E$.

Proof: Composition Lemma.

Metric spaces

A *metric space* $X = (X, \sigma, P)$ consists of

- ▶ a set X ,
- ▶ a metric σ on X ,
- ▶ a dense set $P \subseteq X$ (of *concrete* elements).

For a rational number $\epsilon > 0$ and $p \in P$ we define

$$B_\epsilon(p) := \{x \in X \mid \sigma(p, x) \leq \epsilon\}$$

For convenience, we only work with metric spaces that are *bounded*, i.e. $X \subseteq B_M(p)$ for some $M > 0$ and $p \in P$.

Uniform continuity

Let $X = (X, P, \sigma)$ and $Y = (Y, Q, \tau)$ be metric spaces.

In the following: $p \in P$, $q \in Q$, $a, b \in \mathbb{R}^+$, $\delta, \epsilon \in \mathbb{Q}^+$,
 $\hat{a} := \{\delta \in \mathbb{Q}^+ \mid \delta \leq a\} =]0, a]$.

A *modulus* is a relation $m \subseteq \mathbb{Q}^+ \times \mathbb{Q}^+$ such that

$$\forall b \exists a \ m[\hat{a}] \subseteq \hat{b}$$

A relation $f \subseteq X \times Y$ is *m-continuous*, if

$$\forall \delta, p \exists \epsilon \in m(\delta) \exists q \ f[B_\delta(p)] \subseteq B_\epsilon(q)$$

A relation f is *uniformly continuous (u.c.)* if it is *m-continuous* for some modulus m .

Properties of uniform continuity

Lemma

A relation $f \subseteq X \times Y$ is u.c. iff it is a partial function which is uniformly continuous on its domain, $\text{dom}(f) := \{x \in X \mid \exists y \in Y (x, y) \in f\}$, in the usual sense, i.e.

$$\forall \epsilon \exists \delta \forall x, x' \in \text{dom}(F)$$

$$\sigma(x, x') \leq \delta \Rightarrow \tau(f(x), f(x')) \leq \epsilon$$

Composition Lemma

If $g \subseteq Y \times Z$ and $f \subseteq X \times Y$ are uniformly continuous, so is $g \circ f \subseteq X \times Z$.

Lipschitz conditions and contractivity

For $\lambda \geq 0$ we define

$$m_\lambda := \{(\delta, \epsilon) \mid \epsilon \leq \lambda\delta\}$$

Clearly, m_λ is a modulus and $m_\lambda \circ m_\gamma = m_{\lambda\gamma}$.

A relation $f \subseteq X \times Y$ is called *Lipschitz* if it is m_λ -continuous for some $\lambda \geq 0$. If $\lambda < 1$, we call f *contracting*.

Lemma A relation $f \subseteq X \times Y$ is λ -continuous iff it is a partial function and $\tau(f(x), f(x')) \leq \lambda \cdot \sigma(x, x')$ for all $x, x' \in \text{dom}(f)$. Hence a function is Lipschitz iff it is Lipschitz in the usual sense.

Metric digit spaces

A *metric digit space* $X = (X, \sigma, P, D)$ is a metric space (X, σ, P) together with a set of digits $D \subseteq X \rightarrow X$.

(X, σ, P, D) is called

- ▶ *contracting* if there is $\lambda < 1$ such that all $d \in D$ are contracting with factor λ .
- ▶ *invertible* if d^{-1} is u.c. for all $d \in D$.
- ▶ *covering* if there is an $\epsilon_0 > 0$ such that, for all $\epsilon > 0$ and $p \in P$, either there exists $d \in D$ with $B_\epsilon(p) \subseteq d[X]$, or $\epsilon > \epsilon_0$.
- ▶ *finitely covering* if there is a finite subset of D which is uniformly covering.

Example: (\mathbb{I}, AV) has all these properties.

Characterisation of uniform continuity

Characterisation Lemma

Let $X = (X, \sigma, P, D)$ and $Y = (Y, \tau, Q, E)$ be metric digit spaces. Set $U := \{f : X \rightarrow Y \mid f \text{ u.c.}\}$ and $C := C_{D,E}$.

- (a) If X is contracting, and Y is invertible and covering, then $U \subseteq C$.
- (b) Assume D is finite. If X is invertible and finitely covering, and Y is contracting, then $C \subseteq U$.

Corollary (change of digits)

Let (X, σ, P) be a metric space and let $D, E \subseteq X \rightarrow X$. If D is contracting, and E is invertible and covering, then $C_D \subseteq C_E$.

Proof

The identity function on X is u.c. and hence in $C_{D,E}$, by (a).

The type of a formula

To every formula A we assign the type $\tau(A)$ of its *realisers*, i.e. the type a program extracted from a proof of A will have:

- ▶ $\tau(A)$ is the unit type if A contains neither \vee nor predicate variables (A may contain predicate constants like “=”, “ \leq ” and “ $\in \mathbb{R}$ ”).
- ▶ The propositional connectives \wedge , \vee , \Rightarrow are translated into the type constructors \times , $+$, \rightarrow .
- ▶ Quantifiers and terms are ignored.
- ▶ Predicate variables are translated into type variables.
- ▶ Inductive and coinductive definitions are translated into initial algebras and terminal coalgebras, respectively.

Example: τ (“ f is uniformly continuous”)

Recall that $f : \mathbb{I} \rightarrow \mathbb{I}$ is uniformly continuous if there is some modulus m such that

$$\forall \delta, p \exists \epsilon \in m(\delta) \exists q \ f[B_\delta(p)] \subseteq B_\epsilon(q)$$

Since $\delta, \epsilon, p, q \in \mathbb{Q}$ and $\tau(\epsilon \in \mathbb{Q}) = \mathbb{Q}$, and furthermore $\tau(\epsilon \in m(\delta))$ is the unit type, we have

$$\tau(f \text{ u.c.}) = \mathbb{Q} \times \mathbb{Q} \rightarrow \mathbb{Q} \times \mathbb{Q}$$

Example: $\tau(C_{AV})$

Recall the definition of $C_{AV} \subseteq \mathbb{I}$:

$$\begin{aligned} C_{AV} &= \nu A. \{d(x) \in \mathbb{I} \mid d \in AV, x \in A\} \\ &= \nu A. \{y \in \mathbb{R} \mid -1 \leq y \leq 1 \wedge \\ &\quad \exists d, x (d \in AV \wedge x \in A \wedge y = av_a(x))\} \end{aligned}$$

where

$$AV = \{av_a \mid a \in SD\} = \{d : \mathbb{R} \rightarrow \mathbb{R} \mid \exists a \in SD d = av_a\}$$

$$SD = \{-1, 0, 1\} = \{a \mid a = -1 \vee a = 0 \vee a = 1\}:$$

Therefore

$$\begin{aligned} \tau(C_{AV}) &= \nu \alpha. SD \times \alpha \\ &= SD^\omega \end{aligned}$$

Example: $\tau(C_{AV,AV})$

Recall the definition of $C_{AV,AV} \subseteq \mathbb{I} \rightarrow \mathbb{I}$:

$$\begin{aligned}
 C_{AV,AV} &= \nu F . \mu G . \\
 &\quad \{e \circ f : \mathbb{I} \rightarrow \mathbb{I} \mid e \in AV, f \in F\} \cup \\
 &\quad \{h : \mathbb{I} \rightarrow \mathbb{I} \mid \forall d \in AV \ h \circ av_d \in G\} \\
 &= \nu F . \mu G . \\
 &\quad \{h : \mathbb{R} \rightarrow \mathbb{R} \mid h[\mathbb{I}] \subseteq \mathbb{I} \wedge \\
 &\quad \quad (\exists e, f (e \in AV \wedge f \in F \wedge h = e \circ f) \vee \\
 &\quad \quad (h \circ d_{-1} \in G \wedge h \circ d_0 \in G \wedge h \circ d_1 \in G))\}
 \end{aligned}$$

Therefore

$$\tau(C_{AV,AV}) = \nu \alpha . \mu \beta . SD \times \alpha + \beta^3$$

See also [Hancock, Pattinson, Ghani]

Understanding $\tau(C_{AV,AV}) = \nu\alpha . \mu\beta . SD \times \alpha + \beta^3$

Define T as the largest solution of the domain equation

$$T = SD \times T + T^3$$

i.e. the elements of T are non-wellfounded trees with two kinds of nodes:

- ▶ **Writing nodes:** $W(d, t)$ where $d \in SD$ and $t \in T$.
- ▶ **Reading nodes:** $R(t_{-1}, t_0, t_1)$ where $t_i \in T$.

$\tau(C_{AV,AV})$ is the set of those trees in T that have on every infinite path infinitely many writing nodes.

Realising inductive definitions

Assume the set operator Φ corresponds to the type operator φ .

Then, the inductively defined set $\mu\Phi$ together with the axioms

$$\text{Closure} \quad \Phi(\mu\Phi) \subseteq \mu\Phi$$

$$\text{Induction} \quad \text{if } \Phi(X) \subseteq X, \text{ then } \mu\Phi \subseteq X$$

are realised by the initial algebra $(\mu\varphi, \text{In}_\varphi)$

and the family It_φ of universal arrows, i.e.

$$\text{In}_\varphi \quad : \quad \varphi(\mu\varphi) \rightarrow \mu\varphi$$

$$\text{It}_\varphi[s] \quad : \quad \mu\varphi \rightarrow \alpha \quad (s : \varphi(\alpha) \rightarrow \alpha)$$

with the defining recursion equation expressing that $\text{It}_\varphi[s]$ is an algebra morphism

$$\text{It}_\varphi[s] \circ \text{In}_\varphi = s \circ \mathbf{map}_\varphi(\text{It}_\varphi[s])$$

Hence, the function $\text{It}_\varphi[s]$ is defined by *structural recursion* with step function s .

Realising coinductive definitions

For coinductive definitions the situation is dual.

The coinductively defined set $\nu\Phi$ and its axioms

$$\text{Coclosure} \quad \nu\Phi \subseteq \Phi(\nu\Phi)$$

$$\text{Coinduction} \quad \text{if } X \subseteq \Phi(X), \text{ then } X \subseteq \nu\Phi$$

are realised by the terminal coalgebra $(\nu\varphi, \text{Out}_\varphi)$ and the family $\text{Coit}_\varphi[s]$ of universal arrows

$$\text{Out}_\varphi : \nu\varphi \rightarrow \varphi(\nu\varphi)$$

$$\text{Coit}_\varphi[s] : \alpha \rightarrow \nu\varphi \quad (s : \alpha \rightarrow \varphi(\alpha))$$

with the equation expressing that $\text{Coit}_\varphi[s]$ is a coalgebra morphism

$$\text{Out}_\varphi \circ \text{Coit}_\varphi[s] = \mathbf{map}_\varphi(\text{Coit}_\varphi[s]) \circ s$$

Hence $\text{Coit}_\varphi[s]$ is the function defined by *guarded recursion* with “state transition” function s .

Iterated maps

The family of logistic maps (transformed from $[0, 1]$ to $\mathbb{I} = [-1, 1]$):

$$f_a : \mathbb{I} \rightarrow \mathbb{I}, \quad f(x) = a * (1 - x^2) - 1 \quad (0 \leq a \leq 2).$$

f_a is $2a$ -Lipschitz, hence uniformly continuous (Lipschitz Lemma), hence in $\mathbb{C} := \mathbb{C}_{AV,AV} \subseteq \mathbb{I} \rightarrow \mathbb{I}$ (Characterisation Lemma (a)).

Hence the iterated maps f_a^n are in \mathbb{C} (Composition Lemma) and therefore define signed digit stream transformers (Application Lemma).

The main point of this example is to demonstrate the **memoizing effect** of the tree representation of u.c. functions (see also Hinze, Altenkirch).

$f_2(x) = 2 * (1 - x^2) - 1$. Computing $f_2^n(1/3)$

n = 10

Exact SD: PPZZZZZZNZPZPNZPNZZNPPNPZNPZNPZNPZZPZZNZZZZZZNPZZZ
PZZZZZZP

... as Float: 0.7493017528354341

Float: 0.7493017528354383

E. R. as Float: 0.7493017528354341

Exact Rat: 2797831667561095955203291549538860747228990633859021
77740551732553042997330746919549126577046636631596857447826125531004
55275469619973310064456547818090396304560929400688366970738212885462
64844417999225202069134402085116597175924067307663489082904387928038
65580294776553932679091174750985548564347963457895727062471618250343
99787779443351588523431266046450103423936416024727327712311056145767
80329653408043140886531065840850302727959404399911873974992591272020
98801908525573383524124995583%37339184874102004353295975418486658822
54097767837340077506369317220790406172652512299936889388039772204687
65065431475158108727054592160858581351336982809187314191748594262580
93880701995195640428557181804104668128879740292551766801234061729839
65747316191523867230462351259348960585905882846547935405059362023765
47807442730582144527058988756251452817793413352141920744623027518729
18543286237573706398548531947641692626381997288700690701389925652429

$f_2(x) = 2 * (1 - x^2) - 1$. Computing $f_2^n(1/3)$

n = 30

Exact SD: PZZZZZPNPZPNPPNPNPZZZZZNZPNZZZZZZPNPZPNPNPNPZZNPZNZP
ZNZPZZZN

... as Float: 0.5062674954994535

Float: 0.5062674897488822

n = 60

Exact SD: NNNZPNPZZNZPNZPNZPNZZPZZNZPNZZPNPZPNZNZPZZNZNZZNZP
NZNZZZZN

... as Float: -0.8526437597407311

Float: -0.9469915748606237

n = 600

Exact SD: NNZZZNPZZNZZZZZPNZPNZPNZZPNPPNPNZPNZZPNZZPNZZNZPNPZPNZ
ZZZNPZNZ

... as Float: -0.7587994543102519

Float: 0.33218504745590244

π

For the metric digit space (\mathbb{I}, AV) we have $\pi/4 \in C_D$.

Proof We use the formula

$$\frac{\pi}{4} = \frac{1}{2} + \frac{1}{3} \left(\frac{1}{2} + \frac{2}{5} \left(\frac{1}{2} + \frac{3}{7} \left(\frac{1}{2} + \frac{4}{9} \left(\frac{1}{2} + \dots \right) \right) \right) \right) \right)$$

i.e. $\pi/4 = f_0(f_1(\dots))$ where

$$f_n(x) := \frac{1}{2} + \frac{nx}{2n+1}.$$

Hence we have $\pi/4 \in C_F$ where $F := \{f_n \mid n \in \mathbb{N}\}$. Since F is contracting and AV is invertible and covering, it follows, by the Change of Digits L., $\pi/4 \in C_D$.

Integration

For a continuous function $f : \mathbb{I} \rightarrow \mathbb{R}$ we set

$$\int f := \int_{-1}^1 f = \int_{-1}^1 f(t) dt \in \mathbb{R}.$$

Lemma

$$(a) \int(\text{av}_i \circ f) = \text{av}_{2 \cdot i}(\int f)$$

$$(b) \int f = \frac{1}{2}(\int(f \circ \text{av}_{-1}) + \int(f \circ \text{av}_1)).$$

(see also Simpson, Scriven)

Integration Lemma

Let (X, σ, P, D) be a covering and invertible metric digit system and $f \in C_{D \otimes AV, AV}$. Then the function mapping $(a, b, x) \in \mathbb{I}^2 \times X$ to $\int_a^b f(x, t) dt$ is well-defined and uniformly continuous.

Conclusion

- ▶ “Proofs as programs” deserves a “last chance”.
- ▶ New (correct!) programs extracted that would have been difficult to “guess”.
- ▶ Using a fine tuning of realisability it is possible to do abstract mathematics as usual, and still get computational content.

Further work

- ▶ Clarify connections with related work by Edalat, Heckmann, Potts, Escardo, Simpson, Bauer, Taylor, Hutchinson, Scriven, Hancock, Pattinson, Buchholz, Bertot, Niqui, O'Connor, Spitters,
- ▶ Implement and automate (joint work with the Munich logic group (Minlog) and Anton Setzer (Agda)).
- ▶ Overcome limitations: no finite system of contracting and uniformly covering digits exists on the compact metric space of non-empty compact sets with the Hausdorff metric (joint work with Dieter Spreen).
- ▶ Power series via higher type digits.
- ▶ “Realiser sensitive” logic:
 $\forall x (A(x) \rightarrow B(x) \rightarrow C(x))$ vs.
 $\forall x (A(x) \rightarrow \neg B(x) \vee C(x))$ for decidable $B(x)$.

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