

Good representations via complete clause-learning

Oliver Kullmann

Swansea University, United Kingdom
<http://cs.swan.ac.uk/~csoliver>

Rome, September 27, 2012, *Limits of Theorem Proving*

Good representations of boolean functions

- Contrary to what I believed in my [Cambridge talk](#) this year, there are no good representations of PHP_m^m .
- Doesn't matter — interesting theory, relevant applications (and relativisation can overcome the PHP-barrier).

Collaboration with my student [Matthew Gwynne](#).

[Project home page](#)

Representing boolean functions

- A

clause-set F

is a **(CNF-)representation** of

a boolean function f

if $\text{var}(f) \subseteq \text{var}(F)$ and the satisfying assignments of F projected to $\text{var}(f)$ are (precisely) the satisfying assignments of f .

- Important special case: $\text{var}(f) = \text{var}(F)$, i.e., *without using new variables* (so F is equivalent to f).

Using QCNF we can say that F is a representation of f iff

$$f = \exists_{v \in \text{var}(F) \setminus \text{var}(f)} F.$$

Paradigmatic example for new variables: extension variables.

Less powerful: Circuits (= effective CNF-representations)

In the SAT context, a natural restriction on (CNF-)representations is to demand that evaluation of a *total* assignment for f is “effective” for F .

- Evaluation must be possible by unit-clause propagation.
- **Lemma** Such representations are basically “the same” as circuits.

We will actually consider here only further restricted forms of representations.

Example: PHP_m^m

PHP_m^m as boolean function:

- analogous to “all-different” constraint;
- for that we need to consider the “functional” form, which requires every pigeon to sit in at most one hole;
- so we consider FPHP_m^m instead;
- useful as building block for CNF-representations (requiring some bijection).

We have an effective representation of FPHP_m^m (as boolean function), namely the usual clause-set (including the injectivity-clauses), which even does not use new variables.

Stronger condition: Partial evaluation

Consider a CNF-representation F of f :

Now we want for all **partial** assignments φ for f
 such that $\varphi * f$ is unsatisfiable,
 that UCP for $\varphi * F$ yields the empty clause.

That's needed for SAT solving!

We call that a **1-soft representation**.

(More generally, k -soft means that for every clause C with $f \models C$ there is a tree resolution derivation $F \vdash C$ using space at most $k + 1$.)

Partialising boolean function

To boolean function f we associate boolean function \hat{f} , allowing to consider partial assignments:

- Variables $v \in \text{var}(f)$ are doubled: $v_0, v_1 \in \text{var}(\hat{f})$.
- That v is unassigned is expressed by $v_0 = v_1 = 0$.
- If $v_0 = v_1 = 1$, then $\hat{f} = 1$.
- And if $v_\varepsilon = 1$ and $v_{\bar{\varepsilon}} = 0$, then $v = \varepsilon$.
- \hat{f} true iff the corresponding partial assignment to f makes f *unsatisfiable*.

\hat{f} is monotone.

Partialising FPHP_m^m

The boolean function $\widehat{\text{FPHP}}_m^m$ determines whether a bipartite graph with at most m vertices on each side admits a perfect matching (setting the missing edges to false).

- So we have a short circuit for $\widehat{\text{FPHP}}_m^m$ (via perfect matching decision).
- However that's not useful for SAT (the underlying algorithm can only be exploited by constraint solving), since this doesn't translate back to FPHP_m^m .

SAT-solving uses *partial* evaluation.

Relative 1-softness = monotone circuits

From [BKNW09] follows:

Monotone circuits for \hat{f} correspond effectively to CNF-representations of f of relative softness 1.

Easiest to see is the direction from a monotone circuit for \hat{f} to a CNF-representation of f of relative softness 1: a monotone circuit which evaluates to 1 doesn't bother about inputs equal to 0 (which here means missing assignments) — thus we can just plug in v for v_1 and \bar{v} for v_0 , and translate the circuit into a CNF, with negated output.

The above theorem yields:

There is no poly-size CNF-representation of relative softness 1 for FPHP_m^m .

The UC hierarchy

\mathcal{UC}_k is the set of clause-sets F such that for every $F \models C$ there is a tree-resolution derivation $F \vdash C$ using clause-space at most $k + 1$.

Many equivalent characterisations, for example using generalised UCP.

A(n) (absolute) **k -soft representation** F for f
 is a representation $F \in \mathcal{UC}_k$ for f .

Hierarchy conjecture

For the relative condition everything collapses to $k = 1$, since there are no restrictions on the new variables (so they can absorb higher k).

Conjecture We have a true representation hierarchy for the absolute condition.

Partial result: can show this when not using new variables (here the relative and absolute condition coincide).

What is needed now is a hierarchy inside monotone circuits!

SLUR and clause-learning

In [GK12, GK13] we show

$$UC_k = SLUR_k$$

where $SLUR$ is the class of clause-sets where “single look-ahead unit-resolution” is guaranteed to succeed, and $SLUR_k$ generalises this via generalised UCP.

This means:

UC_1 is the class of clause-sets closed under **clause learning**,
 where the algorithm not just uses UCP,
 but also look-ahead with UCP (to set a variable).

Note that here satisfiable clause-sets are most interesting. Finally, we can also use standard clause-learning, arriving at the hierarchy PC_k (“propagation-completeness”).

End

(references on the remaining slides).

Bibliography I



Christian Bessiere, George Katsirelos, Nina Narodytska, and Toby Walsh.

Circuit complexity and decompositions of global constraints.

In *Proceedings of the Twenty-First International Joint Conference on Artificial Intelligence (IJCAI-09)*, pages 412–418, 2009.



Matthew Gwynne and Oliver Kullmann.

Generalising unit-refutation completeness and SLUR via nested input resolution.

Technical Report arXiv:1204.6529v4 [cs.LO], arXiv, October 2012.

Bibliography II



Matthew Gwynne and Oliver Kullmann.

Generalising and unifying SLUR and unit-refutation completeness.

In Peter van Emde Boas, Frans C. A. Groen, Giuseppe F. Italiano, Jerzy Nawrocki, and Harald Sack, editors, *SOFSEM 2013: Theory and Practice of Computer Science*, volume 7741 of *Lecture Notes in Computer Science (LNCS)*, pages 220–232. Springer, 2013.